



Board of Professional Responsibility

Quarterly Report

pursuant to

Tenn. Sup. Ct. R. 9 § 4.5(b)

2026	Jan. 1 st to Mar. 31 st	Apr. 1 st to Jun. 30 th	Jul. 1 st to Sep. 30 th	Oct. 1 st to Dec. 31 st
Complaints				
Pending at the beginning of the Quarter	37	27		
Received	620	533		
Administratively Dismissed ¹	193	179		
Transferred to Investigation	204	182		
Transferred to CAP	231	158		
Pending at the end of the Quarter	27	41		

Requests for Assistance				
Pending at the beginning of the Quarter	2	1		
Received	424	487		
Resolved	425	484		
Transferred to Investigations	0	2		
Pending at the end of the Quarter	2	2		

¹ Since January 1, 2017, Administrative Dismissals include complaints dismissed due to Complainant's failure to provide supporting documentation.

Overdrafts

Pending at the beginning of the Quarter	1	4		
Received	22	9		
Dismissed	16	8		
Transferred to Investigation	3	2		
Pending Overdrafts at the end of the Quarter	4	3		

Investigation Files

Pending at the beginning of the Quarter	1492	1502		
Opened/Received (from CAP)	234(26)	206 (21)		
Dismissed	157	181		
Diversion	12	13		
Private Informal Admonitions	4	8		
Private Reprimands	12	4		
Public Censures	10	14		
Transferred to Litigation	26	39		
Closed: Other ²	8	15		
Pending at the end of the Quarter	1497	1434		
Retired Status (Included in Pending)	895	897		

² Duplicates, Respondent went into disbarment, Opened in Error, Respondent found to be deceased during investigation

Litigation Cases				
Pending at the beginning of the Quarter	184	170		
New Cases	43	32		
Dismissed	2	6		
Public Censure	2	0		
Disciplinary Suspension	5	3		
Disbarment	4	4		
Temporary Suspension Dissolved	4	2		
Disability Inactive	1	7		
Disability Removed	0	0		
Reinstatements	1	1		
Other ³	5	2		
Pending at the end of the Quarter	203	177		
Retired Status (Included in Pending)	~24	~36		
Temporary Suspension Cases	7 Filed	4 Filed		
Reciprocal Discipline Cases	1 Filed	1 filed		
Total Open Appeals at the end of the Quarter	7	14		

(Revised 4/1/2019; 4/2/2025)

³ Abated by Death; Withdrawn; Nonsuited; Nonserious Crime Notice.