

BOARD OF PROFESSIONAL RESPONSIBILITY  
CASELOAD REPORT  
June 2014  
Fiscal Year 07/01/2013 - 06/30/2014

	This period	This Fiscal Year to date	Last Fiscal Year to date
1. Frivolous Complaints (no files)			
a. Dismissed Frivolous	37	397	586
c. Dismissed Frivolous from CAP	0	3	2
2. Administrative Files:			
a. pending at beginning of period	1	1	4
b. received during period (total)	0	0	0
(i) new matters *	0	0	0
(ii) closed matters reactivated	0	0	0
(iii) referred from CAP	0	0	0
c. closed during period	0	0	3
(i) by dismissal	0	0	0
(ii) by priv. informal admon.	0	0	0
(iii) by trans. to investigative	0	0	0
(iv) other	0	0	3
(v) diversion	0	0	0
d. pending at end of period	1	1	1
3. Investigative Files:			
a. pending at beginning of period	482	518	583
b. received during period (total)	52	877	884
(i) new matters *	48	719	812
(ii) closed matters reactivated	0	0	0
(iii) administrative matters from 2(c)(iii)	0	0	0
(iv) referred from CAP	4	158	72
(v) closed matters on appeal	0	0	0
c. closed during period	65	926	942
(i) by dismissal	45	551	611
(ii) by priv. informal admon.	1	56	69
(iii) by priv. informal reprimand	0	13	13
(iv) by informal public censure	0	30	30
(v) by transfer to formal charg.	8	199	170
(vi) placed on retired status	5	23	12
(vii) by consent to disbarment	0	1	6
(viii) by disability inactive	0	4	13
(ix) other	2	8	7
(x) diversion	4	41	11
d. pending at end of period	469	469	525
4. Total New Complaints			
(i) New Files	52	877	884
(ii) Frivolous Complaints	37	397	586
(iii) Frivolous Complaints from CAP	0	3	2
Total	89	1277	1472
5. Formal Charges:			
a. pending at beginning of period	73	71	73
b. filed during period	6	74	79
c. closed during period	11	76	80
(i) by dismissal	0	5	3
(ii) by priv. informal admon.	0	0	0
(iii) by priv. reprimand	0	0	0
(iv) by public censure	1	10	7
(v) by suspension	4	25	29
(vi) by disbarment	3	14	14
(vii) by disability inactive stat.	3	18	15
(viii) by other action	0	4	11

(ix) placed on retired status	0	0	1
(x) diversion	0	0	0
d. pending at end of period	68	69	72
6. Reinstatements:			
a. pending at beginning of period	8	4	6
b. filed during period	1	8	3
c. closed during period	1	4	5
(i) reinstatements granted	0	2	3
(ii) reinstatements denied	0	0	1
(iii) reinstatements non-suited	0	0	0
(iv) voluntary dismissal	1	1	0
(v) other or no response	0	1	1
d. pending at end of period	8	8	4
7. Temporary Suspensions:			
a. pending at beginning of period	24	18	17
b. filed during period	1	20	19
c. basis			
(i) failure to respond	1	15	11
(ii) misappropriation of funds	0	0	0
(iii) irreparable harm	0	3	5
(iv) combination of i and ii	0	0	0
(v) combination of i and iii	0	0	0
(vi) combination of ii and iii	0	1	2
(vii) Section 14 / Other	0	0	0
(viii) Section 31	0	0	0
(vix) TLAP default	0	1	1
d. suspension granted	0	17	15
e. closed during period	0	13	14
(i) suspension denied	0	1	0
(ii) suspension lifted	0	5	3
(iii) other or no response	0	7	11
f. pending at end of period	25	25	22
8. Overdrafts:			
a. pending at beginning of period	5	8	16
b. filed during period	15	149	172
c. closed during period	14	122	127
(i) law office errors			
(a) bank charges deducted	0	1	7
(b) check written error	7	36	15
(c) deposit in error to bus/personal account	3	12	10
(d) deposit in error to wrong trust account	1	14	15
(e) math/clerical error	1	8	13
(ii) bank errors			
(a) encoding error	0	1	7
(b) debit error - TR account	0	7	10
(c) credit error	0	7	10
(d) not an overdraft	1	4	5
(e) service charge deducted	0	1	4
(f) unspecified	0	3	1
(iii) reporting error			
(a) attorney bus. account	0	0	0
(b) personal account	0	0	1
(c) estate account	0	0	0
(d) unspecified	0	1	0
(e) uncollected	0	0	0
(iv) returned items			
(a) endorsement lacking or improper	0	0	2
(b) stop payment by client on deposited item	0	0	0
(c) stop payment by attorney trust check	0	0	0

(d) third party check	0	3	5
bounced after deposit			
(e) unspecified	0	0	0
(v) untimely deposits			
(a) client or other attorney	1	4	7
disregarded hold instr.			
(b) late deposit - mail	0	0	0
(c) late deposit in real	0	10	4
estate matter			
(d) late deposit - other	0	6	11
(e) other	0	0	0
(vi) unspecified - forgery	0	4	0
d. transfer to investigative file	0	24	49
e. audits performed	0	0	0
f. pending at end of period	6	11	37
9. Complainants Appeals			
a. pending at beginning of period	22	21	17
b. received during period	3	77	68
c. resolved during period	5	78	64
(i) no change in disposition	4	75	61
(ii) disposition modified	0	0	1
(iii) reopened for further	1	3	2
investigation			
d. pending at end of period	20	20	21

NOTES:

Run date : 07/01/2014  
 Started : 08:28:09  
 Completed : 08:49:07  
 Printer not ready. Retry? (Y/N) No