



**BOARD OF PROFESSIONAL RESPONSIBILITY
OF THE
SUPREME COURT OF TENNESSEE**

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RELEASE OF INFORMATION
RE: S. BRAD DOZIER, BPR #24959
CONTACT: WILLIAM C. MOODY
BOARD OF PROFESSIONAL RESPONSIBILITY
615-361-7500

June 20, 2017

WILLIAMSON COUNTY LAWYER SUSPENDED

On June 20, 2017, S. Brad Dozier was suspended from the practice of law by Order of the Tennessee Supreme Court for two (2) years, with thirty (30) days active suspension and the remainder on probation. The suspension is to take effect immediately. As conditions of his suspension, Mr. Dozier must engage a practice monitor, undergo an evaluation by Tennessee Lawyers Assistance Program (TLAP) and enter into a monitoring agreement if deemed appropriate by TLAP, and commit no further acts of misconduct resulting in a recommendation of discipline. Mr. Dozier must pay the Board's costs and expenses and the court costs within ninety days of the entry of the Order of Enforcement.

In the representation of six (6) clients, Mr. Dozier failed to act with diligence in handling client matters and failed to adequately communicate with the clients. In one matter, he failed to deposit an unearned fee in a trust account.

Mr. Dozier's ethical misconduct violated Rules of Professional Conduct 1.1, Diligence; 1.4, Communication; 1.15, Safekeeping Property and Funds; and 8.4, Misconduct.

Mr. Dozier must comply with the requirements of Tennessee Supreme Court Rule 9, Sections 28 and 30, regarding the obligations and responsibilities of suspended attorneys.

Dozier 2642-6 rel.doc

IN THE SUPREME COURT OF TENNESSEE
AT NASHVILLE

FILED

06/20/2017

Clerk of the
Appellate Courts

IN RE: S. BRAD DOZIER, BPR #24959
An Attorney Licensed to Practice Law in Tennessee
(Williamson County)

No. M2017-01191-SC-BAR-BP
BOPR No. 2016-2642-6-WM

ORDER OF ENFORCEMENT

This matter is before the Court upon a Petition for Discipline filed against S. Brad Dozier on October 7, 2016; upon the Response to Petition for Discipline filed by Mr. Dozier on November 15, 2016; upon entry of a Revised Conditional Guilty Plea filed by Mr. Dozier on May 30, 2017; upon an Order Recommending Approval of Revised Conditional Guilty Plea entered on May 31, 2017; upon consideration and approval by the Board on June 9, 2017; and upon the entire record in this cause.

From all of which the Court approves the Order of the Hearing Panel and adopts the Hearing Panel's Order Recommending Approval of Conditional Guilty Plea as the Court's Order.

IT IS, THEREFORE, CONSIDERED, ORDERED, ADJUDGED AND DECREED BY THE COURT THAT:

(1) S. Brad Dozier is suspended for two (2) years with thirty (30) days to be served as an active suspension, pursuant to Tenn. Sup. Ct. R. 9, § 12.2, and the remainder to be served on probation, pursuant to Tenn. Sup. Ct. R. 9, § 14.1, subject to the following conditions of probation:

- (a) Mr. Dozier shall engage a practice monitor for the entire period of probation who shall be selected and approved in accordance with Tenn. Sup. Ct. R. 9, § 12.9(c). Mr. Dozier shall provide a list of potential practice monitors for selection by the Board within fifteen (15) days of entry of this order.
- (b) The Practice Monitor shall meet with Mr. Dozier monthly and assess Mr. Dozier's case load, timeliness of performing tasks and adequacy of communication with clients and provide a monthly written report of Mr. Dozier's progress to Disciplinary Counsel.

- (c) Mr. Dozier shall contact the Tennessee Lawyers Assistance Program (TLAP) for evaluation. If TLAP determines that a monitoring agreement is appropriate, Mr. Dozier shall comply with the terms and conditions of the TLAP monitoring agreement. Mr. Dozier shall give TLAP permission to communicate with the Board regarding any monitoring agreement.
- (d) During the period of suspension and probation, Mr. Dozier shall incur no new complaints of misconduct that relate to conduct occurring during the period of suspension and probation and which results in the recommendation by the Board that discipline be imposed.

(2) In the event Mr. Dozier fails to meet any condition of probation, the probation may be revoked pursuant to Tenn. Sup. Ct. R. 9, § 14.2.

(3) Prior to seeking reinstatement, Mr. Dozier must meet all CLE requirements; have remitted all outstanding registration fees and outstanding professional privilege taxes, including those due from the date of this suspension until the date of reinstatement; and have remitted all court costs and Board costs in this matter.

(4) Additionally, Mr. Dozier shall comply in all aspects with Tenn. Sup. Ct. R. 9, §§ 28 and 30.4 regarding the obligations and responsibilities of suspended attorneys and the procedure for reinstatement.

(5) Pursuant to Tenn. Sup. Ct. R. 9, § 28.1, this Order shall be effective upon entry.

(6) Pursuant to Tenn. Sup. Ct. R. 9, § 31.3(d), Mr. Dozier shall pay to the Board of Professional Responsibility the expenses and costs of this matter in the amount of \$173.11 and shall pay to the Clerk of this Court the costs incurred herein, within ninety (90) days of the entry of this Order, for all of which execution may issue if necessary.

(7) The Board of Professional Responsibility shall cause notice of this discipline to be published as required by Tenn. Sup. Ct. R. 9, § 28.11.

PER CURIAM