



**BOARD OF PROFESSIONAL RESPONSIBILITY
OF THE
SUPREME COURT OF TENNESSEE**

10 CADILLAC DRIVE, SUITE 220
BRENTWOOD, TENNESSEE 37027
TELEPHONE: (615) 361-7500
(800) 486-5714
FAX: (615) 367-2480
E-MAIL: ethics@tbpr.org
Website: www.tbpr.org

RELEASE OF INFORMATION
RE: KATHLEEN L. CALDWELL, BPR# 009916
CONTACT: RANDALL J. SPIVEY
BOARD OF PROFESSIONAL RESPONSIBILITY
615-361-7500

June 24, 2011

MEMPHIS LAWYER CENSURED

On June 23, 2011, Kathleen L. Caldwell of Memphis, Tennessee, was publicly censured by the Tennessee Supreme Court.

The Board of Professional Responsibility filed a Petition for Discipline against Ms. Caldwell pursuant to Rule 9 of the Rules of the Supreme Court of Tennessee. Mr. Caldwell submitted a Conditional Guilty Plea that was approved by the Hearing Panel, the Board and the Supreme Court. Ms. Caldwell violated the Rules of Professional Conduct by resuming the practicing law prior to paying the costs of her disciplinary proceedings as required by the Supreme Court's Order of Enforcement. Ms. Caldwell's actions violated the following Rules of Professional Conduct: 1.16(a)(1)(Declining and Terminating Representation), 5.5(a)(Unauthorized Practice of Law), and 8.4 (Misconduct). Ms. Caldwell was further ordered to pay the costs of the disciplinary proceedings.

For these violations, the Supreme Court of Tennessee publicly censured Kathleen L. Caldwell. A public censure is a rebuke and warning to the attorney, but it does not affect the attorney's ability to practice law.

Caldwell 1967-9 rel.doc

PLEASE NOTE

YOU MAY SUBSCRIBE TO RECEIVE INFORMATIONAL RELEASES, FORMAL ETHICS OPINIONS, NEWSLETTERS AND ANNUAL REPORTS ELECTRONICALLY BY SIGNING IN AT THE BOARD'S WEBSITE

www.tbpr.org/Subscriptions

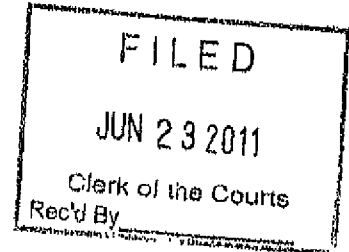
IN THE SUPREME COURT OF TENNESSEE
AT NASHVILLE

IN RE: KATHLEEN L. CALDWELL, BPR #9916

An Attorney Licensed to Practice Law in Tennessee
(Shelby County)

NO. MA2011-01367-SC-BPO-BP

BOPR No. 2010-1967-9-CM



ORDER OF ENFORCEMENT

This matter is before the Court upon a Petition for Discipline filed by Disciplinary Counsel against Respondent, Kathleen L. Caldwell, on August 27, 2010; upon a Response to Petition for Discipline filed by Respondent on September 17, 2010; upon the entering of a Conditional Guilty Plea by the Respondent on April 5, 2011; upon the Order Recommending Approval of Conditional Guilty Plea by a Hearing Panel on April 6, 2011; upon the Board of Professional Responsibility's approval of the Conditional Guilty Plea on June 10, 2011; and upon the entire record in this cause.

From all of which the Court approves the recommendation of the Hearing Panel and accepts the Conditional Guilty Plea.

It is, therefore, **ORDERED, ADJUDGED** and **DECREED** by the Court,

1. The Respondent, Kathleen L. Caldwell, is hereby publicly censured pursuant to Rule 9, §4.4 of the Rules of the Supreme Court.

2. Pursuant to Supreme Court Rule 9, Section 24.3, the Respondent shall pay to the Board of Professional Responsibility the expenses and costs of this matter in the amount of \$295.00 and in addition, shall pay to the Clerk of this Court the costs incurred herein, within ninety (90) days from the entry of this order, for all of which execution may issue if necessary.

3. The Board of Professional Responsibility shall cause notice of this discipline to be published as required by Supreme Court Rule 9, Section 18.10.

FOR THE COURT:

I, Michael W. Catalano, Clerk, hereby certify that
this is a true and exact copy of the original

Filed in the cause.

This 23 day of June, 20 11

CLERK OF COURT

By: [Signature] D.C.

[Signature]
CORNELIA A. CLARK
CHIEF JUSTICE