



**BOARD OF PROFESSIONAL RESPONSIBILITY  
OF THE  
SUPREME COURT OF TENNESSEE**

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**RELEASE OF INFORMATION**  
**KATHLEEN L. CALDWELL, BPR #009916**  
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**BOARD OF PROFESSIONAL RESPONSIBILITY**  
**(615) 361-7500**

March 30, 2009

**MEMPHIS LAWYER SUSPENDED**

On March 24, 2009, Kathleen L. Caldwell, of Memphis, Tennessee, was suspended from the practice of law by the Tennessee Supreme Court for twenty-four (24) months, with six (6) months served as active suspension, followed by the remaining eighteen (18) months served on probation. While on probation, Caldwell shall not violate the Rules of Professional Conduct and shall report to a practice monitor, approved by Disciplinary Counsel, who shall report to the Board of Professional Responsibility on a monthly basis.

The Board of Professional Responsibility filed a Petition for Discipline on March 21, 2005 against Ms. Caldwell. The Board subsequently filed four (4) Supplemental Petitions for Discipline against Ms. Caldwell alleging that Caldwell had failed to supervise a support person in her law office and was unaware of lawsuits, clients and funds generating from her law office. Caldwell submitted a Conditional Guilty Plea which was accepted and approved by a Hearing Panel, the Board and the Tennessee Supreme Court. Ms. Caldwell's actions violated Disciplinary Rules and Rules of Professional Conduct 1.1 (competence); 1.2 (scope of representation); 1.3 (diligence); 1.4 (communication with clients); 1.15 (safekeeping of property); 3.4 (fairness to opposing party and counsel); 5.3 (responsibilities regarding non-lawyer assistance); 8.4 (misconduct). Ms. Caldwell must comply with Section 18 of Rule 9, Rules of the Supreme Court, regarding obligations and responsibilities of suspended attorneys.

Caldwell 1498-9 rel.doc

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