



**BOARD OF PROFESSIONAL RESPONSIBILITY**  
of the  
**SUPREME COURT OF TENNESSEE**

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**RELEASE OF INFORMATION**  
**IN RE: KATHLEEN L. CALDWELL, #009916**  
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**BOARD OF PROFESSIONAL RESPONSIBILITY**  
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October 16, 2003

**MEMPHIS LAWYER SUSPENDED FROM PRACTICE OF LAW**

Kathleen L. Caldwell, a Memphis lawyer, has been suspended from the practice of law in this State for a period of ninety days by Order of the Tennessee Supreme Court entered October 8, 2003. The suspension shall begin on October 15, 2003.

Formal disciplinary proceedings were instituted against Caldwell for neglect of client matters for failing to adequately communicate with her clients; for failing to seek court permission to withdraw in a matter before the tribunal when ceasing to represent clients; for charging excessive fees; for representing clients with differing interests, and for losing client property.

Caldwell submitted a conditional guilty plea in exchange for a ninety-day suspension with conditions:

- (1) That she successfully complete a course in ethics and professionalism at University of Memphis School of law; and
- (2) Caldwell shall have a law practice management evaluation and comply with the law practice management suggestions and have her law practice monitored by an attorney acceptable to Disciplinary Counsel for a period of one year with quarterly reports to Disciplinary Counsel by the law practice monitor as to her compliance with the law practice evaluation's suggestions; and
- (3) Caldwell shall make refunds to certain complaints; and
- (4) Caldwell agreed to waive the statute of limitations as a defense to any claim of or a suit for attorney malpractice for a period of six months from the acceptance of her conditional guilty plea by the Supreme Court of Tennessee in a certain case which was the subject of a complaint.

Section 18 of Tennessee Supreme Court Rule 9 requires Caldwell to notify by registered or certified mail all clients being represented in pending matters; all co-counsel and opposing counsel of her suspension. Section 18 also requires her to deliver to all clients any papers to which they are entitled. Furthermore, during the period of her suspension, Caldwell shall not use any indicia of lawyer, legal assistant, or law clerk, nor maintain a presence where the practice of law is conducted.

LLC:mw

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