

## BOARD OF PROFESSIONAL RESPONSIBILITY of the SUPREME COURT OF TENNESSEE

1101 KERMIT DRIVE, SUITE 730 NASHVILLE, TENNESSEE 37217 TELEPHONE: (615) 361-7500 (800) 486-5714 FAX: (615) 367-2480 E-MAIL: ethics@tbpr.org

## HANCOCK, JOHN MARK

**BPR # 009476** 

June 15, 1994

Suspended by order entered June 15, 1994, retroactive to May 21, 1992, the date his license was placed on temporary suspension, until January 1, 1996. In his representation of an estate, he induced the heirs to allow him to make an investment of estate funds and used said estate funds to purchase a majority interest in a brokerage company that he later became Chief Executive Officer of. He also used \$50,000 to make a loan to a business partner. As a result of the loan, he was furnished a luxury automobile. He failed to close the estate even upon the request of the heirs, and the heirs did not receive their money back until after formal charges were filed. He was cited by the Securities and Exchange Commission for improper conduct and was barred from engaging in the securities business as a broker and dealer. Criminal charges were brought against him by the State and he was placed on pre-trial diversion.



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